

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

6 2004  
OPIE JCA  
MARKS  
Applicant(s): James McCormick, et al.

Title: METHOD AND APPARATUS FOR PROCESSING CALL  
SIGNALING MESSAGES

App. No.: 09/246,612 Filed: 02-08-1999

Examiner: Tang, Kenneth Group Art Unit: 2156

Atty. Dkt. No. 1400.9801020

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Mail Stop OIPE  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

FEB 06 2004

Technology Center 2100

**REQUEST FOR CORRECTED FILING RECEIPT**

Dear Sir:

Please make the indicated corrections as shown on the enclosed Filing Receipt. Please insert **Inventor John Burns** before Inventor Shawn McAllister as evidenced by a copy of the Declaration filed in this Application. Please contact me at the below-listed telephone number if you have any questions or need additional information.

Respectfully submitted,

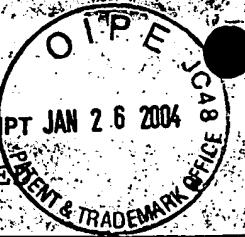
01/20/2004  
Date



Ross D. Snyder, Reg. No. 37,730  
Attorney for Applicant(s)  
Ross D. Snyder & Associates, Inc.  
115 Wild Basin Road, Suite 107  
Austin, Texas 78746  
(512) 347-9223 (phone)  
(512) 347-9224 (fax)

FILING RECEIPT JAN 26 2004

CORRECTED



UNITED STATES GOVERNMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/246,612	02/08/99	2735	\$1,310.00	1400.9801020	5	39	4

MARKISON & RECKAMP  
175 WEST JACKSON BOULEVARD  
SUITE 1015  
CHICAGO IL 60604

COPY

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) JAMES MCCORMICK, STITTSVILLE, CANADA; DAVID FURSH PAN,  
ONTARIO, CANADA; JOHNATHAN BOSLOY, ONTARIO, CANADA; SHAWN  
MCALLISTER, ONTARIO, CANADA.

JOHN BURNS,  
ONTARIO, CANADA

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 09/03/99

TITLE

METHOD AND APPARATUS FOR PROCESSING CALL SIGNALING MESSAGES

PRELIMINARY CLASS: 709

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99 SEP 16 AM 11:24

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MARKISON & RECKAMP, P.C.

DATA ENTRY BY: BRUNSON, MONIQUE TEAM: 03 DATE: 09/03/99



(See reverse for new important information)

O P E  
JAN 26 2004  
PATENT & TRADEMARK OFFICE  
U.S. DEPARTMENT OF COMMERCE

COPY

DECLARATION  
FOR UTILITY OR DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)

Declaration Submitted with Initial Filing, OR  
 Declaration Submitted after Initial Filing  
(surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 1400.9801020

First Named Inventor McCormick, et al

COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

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As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND APPARATUS FOR PROCESSING CALL SIGNALING MESSAGES

the specification of which:

is attached hereto.

was filed on (MM/DD/YYYY) February 8, 1999 as United States Application Number or PCT International Application Number 09/246,612 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Data (MM/DD/YYYY)

Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number
Timothy W. Markison	33,534	Christopher J. Reckamp	34,414
Paul M. Anderson	39,896	J. Gustav Larson	39,263

Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to:

**Markison & Reckamp, P.C.**  
**175 West Jackson Boulevard - Suite 1015**  
**Chicago, Illinois 60604**  
**Telephone: 312-939-9800**  
**Faximile: 312-939-9828**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby swear that the inventors of this patent application includes the individuals named below, which includes an additional inventor who was not named when the patent application was filed on the date indicated.

**Name of Sole or First Inventor:**  A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname		
James S.		McCormick		
Inventor's Signature			Date	May 14, 1999
Residence	City: Stittsville	State: Ontario	Country: Canada	Citizenship: Canada
Post Office Address	43 Elm Crescent			
City: Stittsville	State: Ontario	ZIP: K2S 1S8	Country: Canada	

**Name of Additional Joint Inventor:**  A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname		
David		Furshpan		
Inventor's Signature			Date	
Residence	City:	State:	Country:	Citizenship:
Post Office Address				
City:	State:	ZIP:	Country:	

**Name of Additional Joint Inventor:**  A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname		
Jonathan		Bosloy		
Inventor's Signature			Date	June 1, 1999
Residence	City: Kanata	State: Ontario	Country: Canada	Citizenship: Canada
Post Office Address	29 Marchbrook Circle			
City: Kanata	State: Ontario	ZIP: K2W 1A1	Country: Canada	

Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.



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**DECLARATION**

ADDITIONAL  
INVENTOR(S)  
Supplemental Sheet

Technology Center 2100

Page 1 of 1

Attorney Docket Number 1400.9801020

Name of Additional Joint Inventor:  A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname	
John		Burns	
Inventor's Signature			Date
Residence	City: Kanata	State: Ontario	Country: Canada
Post Office Address	1436 Houston Crescent		
City: Kanata	State: Ontario	ZIP: K2W 1B6	Country: Canada

Name of Additional Joint Inventor:  A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname	
Shawn		McAllister	
Inventor's Signature	<i>Shawn P. McAllister</i>		Date
Residence	City: Manotick	State: Ontario	Country: Canada
Post Office Address	1330 Revell Drive		
City: Manotick	State: Ontario	ZIP: K4M 1K8	Country: Canada

Name of Additional Joint Inventor:  A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature			Date
Residence	City:	State:	Country:
Post Office Address			
City:	State:	ZIP:	Country:

Name of Additional Joint Inventor:  A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature			Date
Residence	City:	State:	Country:
Post Office Address			
City:	State:	ZIP:	Country:

Name of Additional Joint Inventor:  A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature			Date
Residence	City:	State:	Country:
Post Office Address			
City:	State:	ZIP:	Country:



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
09/246,612	02/08/1999	2127	1310	1400.9801020	5	39	4

**CONFIRMATION NO. 6382**

25697  
 ROSS D. SNYDER & ASSOCIATES, INC.  
 115 WILD BASIN RD.  
 SUITE 107  
 AUSTIN, TX 78746

**CORRECTED FILING RECEIPT**



\*OC000000012149479\*

Date Mailed: 03/22/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

JAMES MCCORMICK, STITTSVILLE, CANADA;  
 DAVID FURSHPAN, ONTARIO, CANADA;  
 JOHNATHAN BOSLOY, ONTARIO, CANADA;  
 JOHN BURNS, KANATA, ON, CANADA;  
 SHAWN MCALLISTER, MANOTICK, ON, CANADA;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

If Required, Foreign Filing License Granted: 09/03/1999

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

**Title**

METHOD AND APPARATUS FOR PROCESSING CALL SIGNALING MESSAGES

Preliminary Class

709

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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~~FILED~~

Receipt  
2/6/04

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

	Application Number	09/246,612	
	Filing Date	02-08-1999	
	First Named Inventor	James McCormick, et al.	
	Art Unit	2156	
	Examiner Name	Tang, Kenneth	
Total Number of Pages in This Submission	6	Attorney Docket Number	1400.98010206

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Return Receipt Post Card; Request for Corrected Filing Receipt
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

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Technology Center 2100

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Ross D. Snyder, Reg. No. 37,730
Signature	
Date	01-21-04

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Terri Alloway		
Signature		Date	01-21-04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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# FEES TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1,720.00)

Complete if Known

Application Number 09/246,612

Filing Date 02-08-1999

First Named Inventor James S. McCormick et al.

Examiner Name Tang, Kenneth

Art Unit 2156

Attorney Docket No. 1400.9801020 Technology Center 2100

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JAN 30 2004

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number 50-1566  
Deposit Account Name Ross D. Snyder & Associates, Inc.

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
 

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20** =	X	=
			- 3** =	X	=

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		

\*\* or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

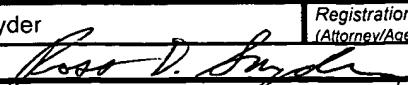
Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1,720.00)

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Ross D. Snyder	Registration No. (Attorney/Agent)	37,730	Telephone	512-347-9223
Signature				Date	01-20-2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.